



## Principal and Building Leader Formative Rubric 2.0 for LEA.ISD.ESA

The Principal and Building Leader Summative Rubrics 2.0 provide administrators and their evaluators with a condensed version of each evaluation characteristic (see School ADvance Administrator Evaluation User Manual and the Principal and Building Leader Framework and Formative Rubric 2.0). This Summative Rubric is organized around the four practice domains (Domains 2-5) and nine practice factors for assessing the performance of building principals, site directors for school and center based student programs, assistant principals, deans of students, and other building administrators. The Principal and Building Leader Framework provides statements that capture the major elements of each domain, through specific performance factors and characteristics. The Domains, Factors, and Characteristics in the Summative Rubric match those in the Framework and Formative Rubric. The Summative Rubric should be used as the basis for the summative performance assessment. The Formative Rubric should be used for formative assessment activities (e.g. self-assessment, conferencing and dialogue, observations, evidence portfolios, etc.) and to provide clarity for developing performance ratings on the Summative Rubric, informing personal growth plans, or plans of assistance/improvement.

Each characteristic in the Summative Rubric collapses several behavioral indicators listed within the Formative Rubric for each of the performance factors. Because the descriptors are collapsed, users should refer back to the Formative Rubrics when there is a question about what a Summative Rubric item means or what might be observable or documentable evidence for that item.

**NOTE: There is no Formative Rubric needed or provided for Domain 1–Results, as Domain 1 is only factored into the performance review at the summative level only.**

### Color Key for Rubrics:

Domains
Factors
Characteristic

<b>Domain 2: Leadership</b>			
<b>Factor A: Vision for Learning and Achievement</b>			
<b>Characteristic 1: Mission and Vision</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>2A1</b>	Articulates and maintains consistent focus on and attention to the central purpose or mission of the school	<i>And</i> assists staff in maintaining focus and consistent attention to the central purpose or mission of the school	<i>And</i> cultivates leadership to help school personnel and stakeholders maintain focus and consistent attention to the central purpose or mission of the school
	Speaks regularly of the school's central purpose or mission to both the internal and external school community	<i>And</i> regularly engages the staff in examining how the school is doing in achieving its central purpose or mission	<i>And</i> regularly engages students, parents, and the community in examining how the school is doing in achieving its central purpose or mission
	Has established and regularly shares a personal vision for students and the school informed by research and evidence based models or examples	<i>And</i> inspires staff, parents, and students to formulate their own personal vision for learning, service to students and the school	<i>And</i> develops shared leadership to help staff, parents, and students establish a personal vision informed by research and evidence based models or examples
	Solicits and includes staff, student, parent, and community input in creating a shared vision for the school	<i>And</i> develops and maintains collaborative processes to achieve commitment from all stakeholders to a shared vision for the school	<i>And</i> develops shared leadership to set goals, shape dialogue, make decisions, focus effort, and allocate resources based on a shared vision for the school
	Ensures that the school vision is clear in setting learning expectations for all students	<i>And</i> is persistent in helping the school achieve its vision of learning for all students	<i>And</i> develops shared responsibility for monitoring progress in achieving the vision of learning for all students
	Maintains a current perspective to inform the school's vision	<i>And</i> engages staff, parents, and students with current information to inform the school's vision	<i>And</i> works with staff, parents, and students to develop innovative ideas to inform the school's vision

<b>Domain 2: Leadership</b>			
<b>Factor A: Vision for Learning and Achievement</b>			
<b>Characteristic 2: Goals and Expectations</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>2A2</b>	Articulate and recommend clear goals for growth, adaptation, and improvement based on the school mission and vision	<i>And works with the staff to agree upon clear school level goals for growth, adaptation, and improvement based on the school mission and vision</i>	<i>And works with students, parents, and community to understand and support shared goals for growth, adaptation, and improvement based on the school mission and vision</i>
	Keeps the focus on the evidence of student learning for staff, parents, and students	<i>And ensures that the school uses valid measures of student learning based on established performance standards and goals</i>	<i>And ensures that stakeholders and students receive regular feedback through valid measures of student learning based on the established performance standards and goals</i>
	Holds high expectations for student achievement, well-being, and post secondary success	<i>And works with staff to establish high expectations for student achievement, well-being, and post-secondary success</i>	<i>And works with students, parents, and community to support high expectations for student achievement, well-being, and post-secondary success.</i>
	Sets and pursues high expectations for his or her own performance in serving the school and its students	<i>And works with staff to establish high expectations for their performance in service to the school and its students</i>	<i>And develops shared leadership for establishing high expectations for staff performance in service to the school and its students</i>
	Communicates hope and optimism for the potential of each student to achieve learning success	<i>And works with staff to communicate and demonstrate hope and optimism for the potential of each student to achieve learning success</i>	<i>And inspires staff, students, parents, and the community to communicate and demonstrate hope and optimism for the potential of each student to achieve learning success</i>

Domain 2: Leadership			
Factor B: Culture			
Characteristic 1: Values, Beliefs, Principles, and Diversity			
Ineffective	Minimally Effective	Effective	Highly Effective
<b>2B1</b>	Speaks clearly and consistently about the values and beliefs he or she brings to the work of school leader and service to students	<i>And works with staff to examine their values and beliefs and how they influence their service to the school and its students</i>	<i>And engages staff, parents, and community leaders in establishing shared values and beliefs to guide how the school serves students</i>
	Demonstrates the value of inclusiveness in the ways he or she engages with the school community	<i>And works with staff to demonstrate inclusiveness with the school community</i>	<i>And maintains a school ethic of inclusiveness in working with both the internal and external school community</i>
	Communicates the value of a high quality, free, and equitable education for all students	<i>And works with staff to pursue both high quality and equity for serving the learning needs of all students</i>	<i>And works with both the internal and external school community to support high quality and equity for serving the learning needs of all students</i>
	Establishes and operates from a set of guiding principles for conduct and service as a school leader	<i>Works with staff to establish and operate from a set of shared guiding principles of conduct and service to students</i>	<i>And develops shared leadership for maintaining, communicating, and operating from a set of shared guiding principles of conduct and service to students</i>
	Honors and celebrates diversity and the worth of every individual	<i>And assists staff and students in honoring and celebrating diversity and the worth of every individual</i>	<i>And inspires others in the school community to behave in ways that honor and celebrate diversity and the worth of every individual</i>
	Demonstrates civility, respect, and dignity in personal and professional interactions	<i>And sets expectations for staff, parents, and students to treat each other with civility, respect, and dignity</i>	<i>And monitors the school culture and environment to insure that each person is treated with civility, respect, and</i>

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<b>Domain 2: Leadership</b>			
<b>Factor B: Culture</b>			
<b>Characteristic 2: Language, Traditions, Celebrations, and Stories</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>2B2</b>	Is clear and consistent in the ways he or she communicates about the work of the school	<i>And works with staff, students, and parents to develop a consistent shared language about the work of the school</i>	<i>And works with staff to establish clarity and consistency in the ways the school communicates with parents, students, and the community</i>
	Uses a blend of language, symbols, graphics, and other communication tools to communicate about the work of the school	<i>And works with staff, students, and parents to develop and use shared language, symbols, graphics, and other communication tools to communicate about the work of the school</i>	<i>And solicits feedback from both internal and external stakeholders on the effectiveness of school communications</i>
	Understands and honors district, school, and community history and traditions	<i>And works with staff, students, parents, and community to celebrate district, school, and community history and traditions</i>	<i>And creates opportunities to capture and communicate stories that celebrate district, school, and community history and traditions</i>
	Seeks opportunities to establish new traditions that assist the school in achieving its mission and vision	<i>And works with staff, students, parents, and community to establish new traditions and celebrations that assist the school in achieving its mission and vision</i>	<i>And creates opportunities to capture and communicate stories that illustrate and celebrate the school's accomplishments, growth, evolution, and future aspirations in the service of students</i>

Domain 2: Leadership			
Factor C: Leadership Behavior			
Characteristic 1: Informed and Current			
Ineffective	Minimally Effective	Effective	Highly Effective
<b>2B1</b>	Ensures that school goals are based on evidence of need from school and student data	<i>And works with staff to examine and interpret multiple sources of evidence from school and student data in setting school goals</i>	<i>And works with staff to examine and interpret multiple sources of evidence from school and student data for determining priorities among school goals</i>
	Ensures that the school adopts research supported practices and strategies to support school goals	<i>And works with staff to evaluate research supported practices and strategies based on school and student data</i>  <i>And works with staff to develop high fidelity and integrity implementation plans for school improvement strategies</i>	<i>And works with staff to set priorities among research supported practices and strategies based on school and student data before adopting and committing school resources to implementation</i>  <i>And develops evaluation plans for selected strategies that include the collection of school and student data to monitor and adjust implementation as needed to achieve the school goals</i>
	Uses reliable sources to stay informed on evidence-based practices and strategies	<i>And sets expectations for staff to use and share reliable sources of evidence-based practice and strategy</i>	<i>And contributes to a district culture of informed leadership through accessing and sharing reliable sources of evidence-based practice and strategy</i>

Domain 2: Leadership			
Factor C: Leadership Behavior			
Characteristic 2: Strategic and Systemic			
Ineffective	Minimally Effective	Effective	Highly Effective
<b>2B2</b>	Establishes both short and long-term leadership priorities for his or her work based on school and district goals	<i>And</i> works with staff to establish both short and long-term priorities for their work based on school and district goals	<i>And</i> cultivates shared leadership to focus on achieving a set of short and long-term priorities based on school and district goals
	Ensures that the priorities and strategies that drive the work of the school are compatible	<i>And</i> works with staff to ensure that the priorities and strategies that drive the work of the school are sustainable, both individually and collectively	<i>And</i> increases compatibility and sustainability of school priorities and strategies by linking them together into a systemic plan to meet school goals  <i>And</i> works with the school and district leadership teams to link school-based priorities and strategies into a district-wide systemic plan to achieve school and district goals
	Maintains focus on school goals and priorities	<i>And</i> is persistent in achieving school goals and priorities while resolving issues and problems as they arise	<i>And</i> guides staff, students, and parents to persist in achieving school and district goals and priorities

<b>Domain 2: Leadership</b>			
<b>Factor C: Leadership Behavior</b>			
<b>Characteristic 3: Fair, Legal, Honest, and Ethical</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>2B3</b>	Stays informed on and adheres to relevant school laws, policies, and procedures	<i>And</i> guides staff to remain informed and follow relevant school laws, policies, and procedures	<i>And</i> contributes to district development of school policies and procedures that are consistent, fair, legal, ethical, and in the best interests of students
	Establishes a personal track record of truthfulness and honesty	<i>And</i> holds staff and students to high standards of truthfulness and honesty	<i>And</i> works with staff, students, and parents to maintain a school culture where truthfulness, honesty, and integrity are valued, honored, and recognized
	Treats all persons fairly	<i>And</i> sets school-wide expectations for the fair treatment of all persons	<i>And</i> recognizes and rewards fairness and fair play among staff, students, and parents
	Establishes a personal track record of ethical decision making	<i>And</i> maintains transparency in personal and school decision making processes	<i>And</i> works with staff, students, and parents to establish a school culture in which staff and students engage regularly around issues of ethics, integrity, and fairness  <i>And</i> contributes to the establishment of a school and district track record of fair and ethical decision making



Domain 2: Leadership			
Factor C: Leadership Behavior			
Characteristic 4: Adaptive and Resilient			
Ineffective	Minimally Effective	Effective	Highly Effective
2B4	Establishes effective personal work habits	<i>And</i> uses habits of reflection and introspection to assess personal effectiveness and establish personal improvement goals	<i>And</i> seeks out and utilizes multiple sources of feedback to assist in assessing personal effectiveness and establishing personal improvement goals  <i>And</i> establishes a school culture that assists staff and students with personal renewal, including processes of reflection and introspection
	Is reliable and consistent about personal attendance and fulfillment of responsibilities	<i>And</i> establishes expectations of staff, students, and parents for participation, attendance and/or fulfillment of responsibilities	<i>And</i> establishes processes to provide staff, students, and parents assistance and recognition for participation, attendance and/or fulfillment of responsibilities
	Attends to the renewal of personal inspiration and commitment to the work of educating and serving students	<i>And</i> openly shares and models the ideas that are the sources of personal inspiration and commitment to the work of educating and serving students	<i>And</i> provides opportunities for staff, students, and parents to share their sources of personal inspiration and commitment to educating and serving students

<b>Domain 3 – Systems</b>			
<b>Factor A: High Quality and Reliability Instructional Program</b>			
<b>Characteristic 1: Guaranteed and Viable Curriculum</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>3A1</b>	Has knowledge of and understands the school/district core curriculum standards	<i>And</i> works with staff to understand and adhere to both the horizontal and vertical alignment of the curriculum across grade levels, curriculum areas, and programs	<i>And</i> works with staff to unpack and interpret state and district curriculum standards at the building and/or district level
	Ensures that all staff have and are using curriculum documents including essential performance (or power) standards, learning objectives and other curriculum references for their grade level, content, and program areas	<i>And</i> works with staff to develop and/or understand and give priority to the essential core curriculum (or power) standards for their grade level, content, and program areas  <i>And</i> monitors the teaching of the core curriculum (or power) standards through regular classroom visits, engagements with teachers, and examination of student work	<i>And</i> works with staff to identify and secure curriculum resources at the district and building level that align with and support the established curriculum standards and student performance expectations
		<i>And</i> works with staff to ensure differentiation in the curriculum for students based on identified learning needs	<i>And</i> works with staff and the school or district leadership team to ensure that the curriculum is appropriate for the full range of student characteristics for the population the school serves  <i>And</i> works with staff to ensure differentiation in the curriculum and extracurricular programs to respond to the full range of student characteristics (including cultural) for the student populations the school serves
		<i>And</i> provides information on the core curriculum standards to students, parents, and the community	<i>And</i> ensures that the school provides students and parents assistance in understanding and working with the core curriculum standards

Domain 3 – Systems			
Factor A: High Quality and Reliability Instructional Program			
Characteristics 2: Evidence Based and Differentiated Instruction			
Ineffective	Minimally Effective	Effective	Highly Effective
3A2	Has a working knowledge about evidence based effective instruction	<p><i>And</i> has clear goals and expectations for classroom instruction based on student needs</p> <p><i>And</i> collaborates with staff to identify and prioritize evidenced based instructional strategies and practices that improve student learning</p>	<p><i>And</i> models and promotes evidenced based instructional strategies and practices with staff</p> <p><i>And</i> works with staff to monitor implementation and evaluate the effectiveness of instructional strategies based on evidence of student learning</p>
	Makes classroom observations to monitor and encourage quality instructional practices	<i>And</i> establishes regular times and places for teachers to collaboratively plan and review instruction based on observations and evidence of student learning	<i>And</i> works with other district leaders to improve their collective ability to know and recognize effective and differentiated instructional practices
	Engages staff in discussing ways to differentiate instruction based on student needs	<p><i>And</i> works with staff to identify student needs for differentiated learning and respond with differentiated instructional strategies to meet those needs</p> <p><i>And</i> works with staff to develop a system of interventions for students who do not make adequate progress in achieving curriculum performance standards</p>	<p><i>And</i> works with staff to evaluate how the differentiated instruction strategies in use are impacting student learning</p> <p><i>And</i> works with staff to evaluate and improve the school's system of interventions based on evidence of student learning</p>
	Creates opportunities for teachers to observe each other's classrooms to support collaboration for instructional improvement	<i>And</i> works with staff to gather evidence of active student engagement and student learning behaviors when making classroom observations	<i>And</i> works with the staff to balance student- directed and teacher directed learning activities so as to increase student learning empowerment and autonomy

Domain 3 – Systems			
Factor A: High Quality and Reliability Instructional Program			
Characteristics 3: Standards Based Assessment and Feedback			
Ineffective	Minimally Effective	Effective	Highly Effective
3A3	<p>Has a working knowledge of different kinds of assessments, their purposes, and the types of information they yield to inform teaching and learning:</p> <ul style="list-style-type: none"> <li>• Formative/summative</li> <li>• Achievement</li> <li>• Aptitude/ability</li> <li>• Attitude/perception</li> <li>• Psycho/social/behavioral</li> </ul>	<p><i>And</i> has a working knowledge of the construction of different types of assessments and the appropriate uses of the data from those assessments</p> <p><i>And</i> works with staff to choose, develop, administer, analyze, and interpret the results of both externally produced and teacher-produced assessments</p>	<p><i>And</i> works with staff to increase their knowledge and improve their assessment practices</p> <p><i>And</i> works with staff to increase their knowledge and improve their ability to interpret and use assessment data to achieve better student results</p>
	<p>Works with staff to develop and consistently utilize assessments to monitor and report on student learning</p>	<p><i>And</i> provides training for staff in assessment literacy and practices</p> <p><i>And</i> works with staff to ensure that common assessments are administered and analyzed with sufficient frequency and consistency to inform instruction</p>	<p><i>And</i> develops staff leaders in assessment literacy and practices</p> <p><i>And</i> develops team processes for teachers to work together to analyze and interpret assessment results and plan instruction based on those results</p>
	<p>Works with teachers to clearly communicate assessment results to students and parents</p>	<p><i>And</i> works with staff to use assessment results when making decisions about individual students and conferencing with students and parents</p>	<p><i>And</i> works with staff to use assessment results to help students track their own learning progress and set their own learning goals</p>
	<p>Understands and follows ethical, legal, and technical guidelines for assessment practices and the handling of student assessment data</p>	<p><i>And</i> ensures staff understands and follows ethical, legal, and technical guidelines for assessment practices and the handling of student assessment data</p>	<p><i>And</i> assists in developing district ethical and legal standards and technical guidelines for assessment practices and the handling of student assessment data</p> <p><i>And</i> works with staff to develop and refine building and district policies and practices for student assessment and the handling of student assessment data</p>

<b>Domain 3 – Systems</b>			
<b>Factor B: Safe, Effective, Efficient Programs and Services</b>			
<b>Characteristic 1: Laws, Policies, and Regulations</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>3B1</b>	Maintains current knowledge of and consistently follows district, state, and federal laws, policies and regulations	<p><i>And works with staff to learn and follow district, state, and federal laws, policies, and regulations</i></p> <p><i>And establishes school routines and processes to carry out or adhere to district state, and federal laws, policies, and regulations</i></p>	<i>And ensures that the school uses data to regularly monitor, evaluate, and improve school routines and processes to carry out district, state, and federal laws, policies, and regulations</i>
	Monitors and tracks school safety and student well-being factors	<i>And works with staff to make data informed decisions regarding the improvement of school safety and student well-being factors</i>	<i>And works with staff to evaluate, adopt, and fully implement evidence-based strategies to improve school safety and student well-being based on identified needs</i>
	Is familiar with and follows the provisions of employee contracts and other contractual agreements that pertain to the operations of the school	<i>And works with staff to help them know and follow provisions of employee contracts and other contractual agreements that pertain to them</i>	<i>And contributes to contract maintenance and development through district negotiations and employee processes</i>

<b>Domain 3 – Systems</b>			
<b>Factor B: Safe, Effective, Efficient Programs and Services</b>			
<b>Characteristic 2: Processes and Procedures</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>3B2</b>	Knows and follows district processes and procedures to support school and district programs and services	<i>And</i> works with the staff and students to understand and follow established processes and procedures that support school and district programs and services	<i>And</i> works with the school and district leadership teams to collect and interpret data and feedback on the effectiveness of processes and procedures to support school and district programs and services  <i>And</i> cultivates ideas and shared leadership to improve processes and procedures to support school and district programs and services
	Identify and address the need for additional processes and procedures for the key work of the school not fully addressed through district processes and procedures	<i>And</i> works with staff and students to monitor school-based processes and procedures based on relevant data	<i>And</i> engages staff and students in designing and developing improved school-based processes and procedures based on identified needs

Domain 3 – Systems			
Factor B: Safe, Effective, Efficient Programs and Services			
Characteristics 3: Resource Allocation and Management			
Ineffective	Minimally Effective	Effective	Highly Effective
<b>3B3</b>	Know and follow established systems, processes, and procedures for fiscal, human, and material resource management in accordance with state and federal law	<i>And</i> works with staff to align and realign fiscal, human, and material resources as needed to support the school goals and implement strategies to achieve those goals	<i>And</i> works with staff and parents to seek out and secure additional sources of fiscal, human, and material support for priority strategies to achieve school goals
	Regularly monitors the school’s fiscal management and financial status	<i>And</i> regularly communicates with staff regarding the school’s fiscal management and financial status	<p><i>And</i> maintains transparency with all stakeholders regarding the school’s fiscal management and financial status</p> <p><i>And</i> communicates regularly with district officials about the school’s fiscal management and financial status</p> <p><i>And</i> contributes to strategic district decisions and strategies for funding and resource acquisition and allocation</p>

Domain 4 – Processes			
Factor A: Community Building			
Characteristic 1: Relationships			
Ineffective	Minimally Effective	Effective	Highly Effective
4A1	Forms relationships with staff, students, families, and the broader school community	<p><i>And works with staff to assess the needs of stakeholders within the school community (e.g. staff, students, families, etc.)</i></p> <p><i>And works with staff to respond to the needs and values of the diverse school community</i></p>	<p><i>And works with staff and community to coordinate services for students and families</i></p> <p><i>And works with staff, students, parents, and other district and/or community leaders to support the needs and values of the diverse school community</i></p> <p><i>And develops external partnerships to support the needs and values of the diverse school community</i></p>
		<i>And is involved in the community outside of the school</i>	<i>And uses community involvement to connect the school to the broader community</i>
		<i>And is an advocate for the school in the community</i>	<i>And establishes advocates for the school among parents and other community leaders</i>



Domain 4 – Processes			
Factor A: Community Building			
Characteristic 2: Inclusion			
Ineffective	Minimally Effective	Effective	Highly Effective
4A2	Welcomes and invites parents to visit the school and classroom	<i>And</i> works with staff to enlist parents to participate in school organizations, committees, and governance	<i>And</i> works with staff to ensure a diverse representation of parents and community members in school organizations, committees, and governance
	Encourages parents to be involved in the affairs of the school	<i>And</i> works with staff to engage parents in activities that are meaningful and relevant to them	<i>And</i> works with staff to provide opportunities for parents and community groups to share leadership for addressing the needs of students and their families
	Encourages diverse segments of the school community to be involved in the affairs of the school	<i>And</i> works with staff to identify and respond to concerns of students, parents, and diverse segments of the community	<i>And</i> avoids marginalizing, patronizing, or giving advantage to any one group or individual  <i>And</i> collaborates with diverse segments of the community in ways that contribute to the success of all students

Domain 4 – Processes			
Factor A: Community Building			
Characteristic 3: Communications			
Ineffective	Minimally Effective	Effective	Highly Effective
4A3	Communicates with parents and the community about the school	<i>And works with staff to communicate with parents and the community using multiple forms of communication such as newsletters, forums, surveys, phone calls, personal visits, and the use of technology</i>	<i>And works with school and district leadership teams to achieve two-way communication with parents and the community using multiple forms of communication such as websites, social media, and other interactive media</i>
	Provides information to staff, students, parents, and the community about student achievement	<i>And works with staff to understand and communicate information to students and parents on student achievement</i>	<i>And works with the school and district leadership teams to help parent and community groups to understand and provide feedback on student achievement</i>
	Provides information to parents about individual student achievement	<i>And works with staff to inform parents of student achievement goals and how to support their children in achieving those goals</i>	<i>And cultivate staff leadership to engage parents as full partners in helping their children master achievement goals</i>
	Spotlights school successes with the media	<i>And works with staff to provide the media with information and stories about the school mission, vision, and student success</i>	<i>And works with the school and district leadership teams to create partnerships with the media (television, radio, and newspaper) to tell the school's story</i>
	Keeps central office informed as appropriate	<i>And works with staff to establish transparency and two-way communications with central office</i>	<i>And empowers staff to engage and communicate with central office as appropriate to carry out staff leadership roles</i>

Domain 4 – Processes			
Factor B: Evidence Based Improvement			
Characteristic 1: Collaborative Inquiry			
Ineffective	Minimally Effective	Effective	Highly Effective
<b>4B1</b>	Raises questions about why and how student achievement results are what they are	<i>And</i> works with staff to identify and challenge assumptions about student achievement and raise questions about school and district results	<i>And</i> develops shared leadership to raise questions about student learning and challenge assumptions about school and district results
	Creates routines and processes to conduct collaborative inquiry to examine student results	<i>And</i> works with staff to refine routines and processes to support collaborative inquiry and examination of student results	<i>And</i> develops shared leadership to refine routines and processes for collaborative inquiry and examination of student results
	Establishes teaming processes for staff to work on collaborative inquiry	<i>And</i> works with staff to refine teaming processes as needed to assist teachers in developing evidence based instruction	<i>And</i> develops shared leadership to support the work of collaborative inquiry teams utilizing SMART Goals, Action Research, or other evidence based team processes

Domain 4 – Processes			
Factor B: Evidenced Based Improvement			
Characteristic 2: Systematic Use of Multiple Data Sources			
Ineffective	Minimally Effective	Effective	Highly Effective
4B2	<p>Understands and uses multiple types of data:</p> <ul style="list-style-type: none"> <li>• student demographics</li> <li>• school process</li> <li>• student achievement</li> <li>• behavioral</li> <li>• staff, student, and parent feedback</li> </ul>	<p><i>And</i> works with staff to understand and use multiple types of data:</p> <ul style="list-style-type: none"> <li>• student demographics</li> <li>• school process</li> <li>• student achievement</li> <li>• behavioral</li> <li>• staff, student, and parent feedback</li> </ul>	<p><i>And</i> develops shared leadership and district expertise in the use and analysis of multiple data types and forms</p>
	<p>Knows and applies processes to analyze data from multiple sources and forms</p>	<p><i>And</i> works with staff to use multiple data sources/forms and establishes multi-year trends, aggregated and disaggregated performance status profiles, and growth profiles</p>	<p><i>And</i> develops shared leadership and school expertise to deepen data analysis by triangulating information from multiple data forms/sources</p>
	<p>Use the results of analysis from multiple forms/sources of data to inform school goals, improvement targets, and strategies</p>	<p>Works staff to use the results of analysis from multiple forms/sources of data to inform school goals, improvement targets, and strategies</p>	<p><i>And</i> develops shared leadership to use the results of analysis from multiple forms/sources of data to inform school goals, improvement targets, and strategies</p>
	<p>Establishes processes for benchmarking implementation progress and results from school improvement strategies</p>	<p><i>And</i> works staff to systematically collect benchmark data for tracking the implementation and effectiveness of school improvement strategies</p>	<p><i>And</i> develops shared leadership to revise school improvement strategies as indicated by benchmarking data to achieve school improvement targets</p>

Domain 4 – Processes			
Factor B: Evidenced Based and Data Informed Decision Making			
Characteristic 3: Data Systems			
Ineffective	Minimally Effective	Effective	Highly Effective
4B3	Has a working knowledge of the data collection, storage, security, retrieval, and analysis system for the school	<i>And</i> works with staff to develop a working knowledge of the school’s data system	<i>And</i> develops shared leadership to train and support staff and others as needed in the use of the school’s data system
	Establishes and communicates expectations for how teachers and other staff are to use the school and district data systems	<i>And</i> monitors and supports appropriate use of the school’s data system by teachers and other staff	<i>And</i> develops shared leadership to improve utilization of the school and district data systems to support school goals and improvement strategies
	Establishes and communicates expectations for tracking individual and classroom level student achievement	<i>And</i> works with staff to help them use the school’s data system for classroom assessments and other classroom level generated data	<i>And</i> cultivates shared leadership to help staff use the school data system to create classroom and individual student learning profiles
	Collects feedback on the effectiveness of the school and district data systems	<i>And</i> works with staff to evaluate and recommend improvements to the school and district data systems	<i>And</i> works with school and district leadership teams to improve either the structure or the use of school and district systems for data collection, storage, security, retrieval, and analysis

## Principal and Building Leader Formative Rubric 2.0

Domain 5 – Capacity			
Factor A: Human Development			
Characteristics 1: Professional Learning			
Ineffective	Minimally Effective	Effective	Highly Effective
<b>5B1</b>	Develops a personal growth plan based on building and district school improvement goals and performance evaluation feedback	<i>And</i> updates and revises the personal growth plan based on school/district improvement data and performance evaluation feedback	<i>And</i> works with other leaders to identify and incorporate evidenced-based leadership practice into the personal growth plan
	Reads professionally	<i>And</i> works with staff to access professional organizations and other sources of professional learning	<i>And</i> finds and shares professional learning research and/or participates in local, state, or national professional learning projects
	Establishes expectations for staff to develop personal growth plans through the district staff evaluation process	<i>And</i> , works with staff to develop personal growth plans based on identified personal and school learning needs	<i>And</i> develops shared leadership to assist staff in developing personal growth plans
	Actively participates in professional learning required of teachers	<i>And</i> helps staff access professional learning that addresses personal and school learning needs and informs their personal growth plans	<i>And</i> develops shared leadership to create an overarching building professional learning system aligned with standards for professional learning and responsive to school learning needs
	Promotes professional reading among staff	<i>And</i> works with staff to engage with and use educational research and best practice to inform professional learning	<i>And</i> develops a collaborative professional learning culture wherein building staff seek out and engage one another with research and best practice information
	Implement district and school induction and mentoring for new employees	<i>And</i> works with staff to develop and implement staff induction, mentoring, and coaching programs that support teachers and other staff throughout their career	<i>And</i> develops shared leadership to evaluate and improve the effectiveness of staff induction and mentoring programs based on staff performance and student achievement data

Domain 5 – Capacity			
Factor A: Human Development			
Characteristics 2: Leadership Development			
Ineffective	Minimally Effective	Effective	Highly Effective
5B2	Creates opportunities for staff to be involved in the decisions that affect the day-to-day operation of the schools and district	<i>And</i> creates opportunities for staff to play leadership roles in school initiatives and activities	<i>And</i> empowers staff to lead and/or facilitate meetings, lead committees, and assume other leadership roles
	Cultivates staff leadership within the school	<i>And</i> develops a collaborative culture where all building staff share responsibility and leadership for student and school success	<i>And</i> develops shared leadership to provide training, resources, recognition, and support for staff leaders
	Seeks out the best candidates for district, school and teacher leadership roles	<i>And</i> develops emerging leaders through training, mentoring, coaching, and support	<i>And</i> works with staff to monitor and develop leadership capacity within the school community
	Cultivates student and parent leadership within the school	<i>And</i> works with staff to create meaningful leadership roles for parent and student leaders	<i>And</i> develops shared staff leadership to create a culture in which parent and student leaders are encouraged and recognized for their contributions to the school

Domain 5 – Capacity			
Factor A: Human Development			
Characteristic 3: Performance Evaluation			
Ineffective	Minimally Effective	Effective	Highly Effective
5B3	Evaluates staff performance at least annually and provides timely and constructive feedback	<p><i>And</i> makes regular classroom visits, providing formal and informal feedback to teachers</p> <p><i>And</i> uses classroom visits to monitor the effectiveness of curriculum implementation, instruction, and assessment practices</p>	<p><i>And</i> uses a variety of methods to provide feedback, both positive and corrective to staff</p> <p><i>And</i> ensures that teachers regularly visit each others’ classrooms and provide each other feedback</p>
	Follows all state and local procedures for staff performance evaluation	<i>And</i> assists staff in understanding and participating appropriately in state and local procedures for staff performance evaluation	<i>And</i> convenes regular staff discussions about observed classroom practices and the impact of those practices on students
	Develops Individual Development Plans (IDPs) as needed to improve staff performance	<i>And</i> involves staff as full partners in the creation of Individual Development Plans (IDPs)	<i>And</i> empowers staff to become partners in the performance evaluation process through the use of performance portfolios, peer coaching, and shared problem solving to improve staff performance
		<i>And</i> provides coaching for staff to improve classroom instruction and student results	<i>And</i> involves staff as peer coaches to support performance improvement
		<i>And</i> participates in professional learning to increase skills in performance evaluation	<i>And</i> coaches other administrators in evaluation practices



Domain 5 – Capacity			
Factor A: Human Development			
Characteristic 4: Productivity			
Ineffective	Minimally Effective	Effective	Highly Effective
5B4	Offers innovative and adaptive ideas to achieve school and district goals	<i>And</i> creates opportunities for staff to offer innovative and adaptive ideas to achieve school and district goals	<i>And</i> empowers staff to field test and evaluate innovative and adaptive ideas for achieving district and school goals
	Recognizes innovative and adaptive ideas offered by staff, students, parents, and community members to achieve school and district goals	<i>And</i> creates opportunities for staff students, parents, and community members to offer innovative and adaptive ideas to achieve district and school goals	<i>And</i> develops shared leadership to work with students, parents, and community members in developing and testing innovative and adaptive ideas to achieve district goals
	Establishes a process for personnel roles and responsibilities to be communicated and understood	<i>And</i> hires and/or assigns people to staff positions based on qualifications and capacity to meet the expectations of those positions	<i>And</i> cultivates shared leadership to differentiate roles and responsibilities as needed to meet the goals of the school and district and make optimal use of staff knowledge, talents, and expertise
	Establishes regular and reliable school routines and procedures as needed to support productivity	<i>And</i> works with the leadership team and staff to communicate about school routines and procedures with internal and external stakeholders as appropriate	<i>And</i> develops shared leadership to evaluate, modify, and/or create new school routines and processes as needed to increase productivity

<b>Domain 5 – Capacity</b>			
<b>Factor B: Technology Integration and Competence</b>			
<b>Characteristic 1: Personal Use of Technology</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>5A1</b>	Uses established school and district technologies and technology systems to supervise teaching, learning, assessment, reporting, and communications	<i>And uses</i> mobile communications devices, along with a variety of social and web-based applications, to expand and enhance communication, information access, and work processes	<i>And</i> keeps abreast of emerging technologies and their potential to impact the school environment and/or personal leadership effectiveness
	Knows and utilizes computer and mobile communication devices, programs, and systems necessary for meeting job responsibilities	<i>And</i> participates in and contributes to electronic learning communities (e.g.) to stimulate and support the work of the school	<i>And</i> learns and uses new technologies to enhance productivity and leadership
		<i>And</i> models personal use of technology for staff and students	<i>And</i> assists others in developing personal capacity for technology use

<b>Domain 5 – Capacity</b>			
<b>Factor A: Technology Integration and Competence</b>			
<b>Characteristic 2: Learning and Teaching with Technology</b>			
<b>Ineffective</b>	<b>Minimally Effective</b>	<b>Effective</b>	<b>Highly Effective</b>
<b>5A2</b>	Provides staff with the necessary training, support, and direction to use established school and district technologies and technology systems to conduct teaching, learning, assessment, reporting, and communications	<i>And</i> works with staff to expand the integration of technology in the school’s processes, daily routines, communications, instruction, assessment, accountability systems, etc.	<i>And</i> develops shared leadership to create innovations in the use of technology to better serve students and increase/expand student learning
	Maintains current knowledge regarding technology rich teaching and learning environments	<i>And</i> works with staff to ensure that the school improvement plan is technology-rich and aligned with current knowledge on best practice and the district improvement and/or strategic goals	<i>And</i> cultivates shared leadership to advocate at the district, community, and state levels for policies, programs, and resources that support the use of technology to better serve students and increase/expand student learning
	Monitors and guides the use of school technology resources to support teaching and learning	<i>And</i> works with staff to secure the necessary training, support, and direction to use instructional technology as appropriate to meet student learning needs	<i>And</i> develops shared leadership to expand learning access (any time; anywhere; any way) and learning opportunity (any legitimate and student appropriate learning purpose)

Domain 5 – Capacity			
Factor A: Technology Integration and Competence			
Characteristic 3: Leadership for Technology			
Ineffective	Minimally Effective	Effective	Highly Effective
5A3	Works with staff, students, and parents to create a shared vision for teaching and learning with technology	<i>And</i> works with staff to make and implement technology decisions based on the shared vision for teaching and learning with technology	<i>And</i> cultivates shared leadership and contributions to the district vision for teaching and learning with technology
	Seeks out and shares information sources about using technology to increase learning opportunity and achievement	<i>And</i> encourages staff to test out evidenced based practices for using technology to expand learning opportunity and improve learning outcomes	<i>And</i> develop shared leadership to test out new practices and strategies for expanding learning opportunity and improving learning outcomes
	Informs parents and the community about the role of technology in the school’s teaching and learning programs	<i>And</i> works with staff to inform students and parents on the use technology to achieve the full benefit of district and/or school programs and services	<i>And</i> recognizes and rewards staff and student initiative in creating innovative ways to use technology to enhance, expand, and increase learning